# **MULTI-DIMENSIONALITY**

#### BY

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#### ABSTRACT

We prove that if  $T$  is stable, not multi-dimensional theory, then there is an infinite indiscernible set orthogonal to the empty set. This completes the proof that if  $\aleph_{\alpha} = \aleph_{\alpha}^{|T|} > \aleph_{\beta} \ge \kappa_r(T)$ , then T has  $\ge 2^{|\alpha-\beta|}$  non-isomorphic  $\aleph_{\beta}$ -saturated models of cardinality  $\aleph_{\alpha}$ .

#### **§0. Introduction**

In  $[Sh-a, §5]$  we have dealt with the dividing line "T stable not multi-dimensional" for quite saturated models. The point is that as we are not assuming superstability, we do not know regular types exist, so dealing with dimensions is harder. One side of the dichotomy [Sh-a, V5.9] states that if  $T$  is stable multi-dimensional  $\kappa_r(T) \leq \aleph_\alpha < \aleph_\beta$ , *T* stable in  $\aleph_\beta$ , *then T* has  $\geq 2^{|\beta-\alpha|}$  non-isomorphic,  $\aleph_\alpha$ -saturated models of power  $\aleph_{\beta}$ . In the proof we essentially use an  $\mathbf{F}_{\aleph_{o}}^{\alpha}$ -prime model  $M_{\text{S}}$ over  $\bigcup_{\lambda \in S} I_{\lambda}$ , where  $S \subseteq {\aleph_{\gamma}: \alpha \leq \gamma \leq \beta}$  (and  $\aleph_{\beta} \in S$ ),  $I_{\lambda}$  is indiscernible over  $A \cup \bigcup {\{\mathbf{I}_{\mu} : \mu \in S \setminus \{\lambda\}\}\}\,$ ,  $|\mathbf{I}_{\lambda}| = \lambda$ , for every  $\bar{a}_1^{\lambda}, \bar{a}_2^{\lambda}, \ldots \in \mathbf{I}_{\lambda}$ , stp $(\langle \bar{a}_1^{\lambda}, \bar{a}_2^{\lambda}, \ldots, \rangle, A)$ does not depend on  $\lambda$ , and claim  $\{dim(I, M_S) : I \subseteq M \}$  indiscernible} is S.

However, E. Hrushovski and E. Bouscaren note that a point addressed in the middle of the proof is ignored in the end: if  $|S| > \lambda$ , maybe dim( $I_{\lambda}$ ,  $M_S$ ) >  $\lambda$ .

This is corrected here by giving a better equivalent form to a stable theory being multi-dimensional: there is an infinite indiscernible set I with  $Av(I,I)$  orthogonal to  $\varnothing$ .

So the proof of [Sh-a, V5.7] works. I thank Udi Hrushovski for discussion on this problem. The references to [Sh-a] can be replaced by [Sh-b].

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NOTATION. Cb(p) denotes the canonical base of the type p (see [Sh-a, III§6]);  $ctp(p)$ , canonical type (essentially  $p \restriction Cb(p)$ ) – see there.  $\bot$  denotes orthogonal;  $\perp_w$ , weakly orthogonal.

BUC means  $\{B, C\}$  is independent over A;  $B \biguplus_{A} C$ , the negation of  $\biguplus$ .

 $A$  and  $A$ p  $_{1}$  B is the unique  $q \in S^{<\omega}(B)$  parallel to p (if there is one and only one such  $q$ ).

 $\leq_w$  (see [Sh-a, V§5]), i.e.  $\{p_i : i < i^*\}\leq_w q$ , if for every  $\lambda > |U_i \operatorname{Dom} p_i \cup$ Dom  $q$  +  $\kappa_r(T)$  and  $\mathbf{F}^q$ -saturated model M including  $\bigcup_i$  dom  $p_i \cup$  Dom  $q$ , we have  $\dim(a, M) \ge \min\{\dim(p_i, M) : i < i^*\}.$ 

 $\tilde{B}$  the type of  $\bar{a}$  over *B*.

## **§1. Sharpening the multi-dimensionality dividing line (for stable theories)**

HYPOTHESIS. *T Stable,*  $\kappa(T) > \aleph_0$ .

- 1.1. CLAIM. Suppose
- (a)  $\kappa = \kappa_r(T) + \aleph_1;$

(b) 
$$
M_0 < M_1 < M_2, \|M_1\| = \lambda;
$$

(c) for every 
$$
\bar{a} \in {}^{\omega}{}(M_2)
$$
, if  $\bar{a} \notin {}^{\omega}{}M_1$  then dim  $\left(\text{ctp}\left(\frac{\bar{a}}{M_1}\right), M_2\right) > \lambda$ ;

- (d)  $J = \{c_i : \zeta \le \kappa\} \subseteq M_2$  is indiscernible,  $Av(J, J) \perp p$  for every  $p \in S(M_0)$ satisfying dim( $p, M_2$ ) >  $\lambda$ ; also  $c_{\kappa}$  realizes Av(**J**,  $M_1 \cup \{c_{\kappa} : \zeta < \kappa\}$ );
- (e)  $M_0, M_1$  are  $\mathbf{F}_{\kappa}^a$ -saturated;
- (f) *if*  $p_i \in S(M_0)$  for  $i < \kappa$ ,  $A \subseteq M_0$ ,  $|A| < \kappa$ ,  $B \subseteq M_2$ ,  $q \in S(B)$  stationary,  $|B| < \kappa$ ,  $\bigwedge_{i < \kappa} q \nleq p_i$  and  $\dim(q, M_2) > \lambda$ , then there are  $q' \in S(B')$ ,  $B' \subseteq$  $M_0$ ,  $\bigwedge_i q' \neq p_i$ , dim $(q', M_2) > \lambda$ , and *B'*, *B* realize the same type over *A*;  $1 - 1 \bar{z}$  )

(g) if 
$$
\bar{c} \in {}^{\omega}M_2
$$
,  $\bar{c} \notin {}^{\omega}M_0$ , then dim  $\left(\text{ctp}\left(\frac{\mathfrak{c}}{M_0}\right), M_0\right) > \kappa$ .

*Then*  $Av(\mathbf{J},\mathbf{J}) \perp M_0$ .

**PROOF.** Assume not. Let  $I = \{c_n : n < \omega\}$ ; there is  $A \subseteq M_0$  such that  $|A| < \kappa$ ,  $\frac{\langle c_n : n < \omega \rangle}{M_0}$  does not fork over A. By assumption (g) we can find, for  $\alpha < \kappa^+$ ,  $\langle c_n^{\alpha} : n \langle \omega \rangle \in M_0$  such that  $\{ \langle c_n^{\alpha} : n \langle \omega \rangle : \alpha \langle \kappa^+ \rangle \}$  is independent over A, each realizing stp( $\langle c_n : n \langle \omega \rangle$ , A). Let  $I^{\alpha} = \{c_n^{\alpha} : n \langle \omega \rangle\}$ ; by [Sh-a, V3.4] (the assumption is the conclusion fails)  $Av(J, J)$  is not orthogonal to A and not orthogonal to Av( $I^{\alpha}, I^{\alpha}$ ) for  $\alpha < \kappa^{+}$ . For each  $\alpha < \kappa^{+}$ , Av( $I^{\alpha}, I^{\alpha}$ ) cannot be orthogonal to  $\langle c_{\xi} : \xi \leq \kappa \rangle$  [as then let  $M'_{1}$  be  $\mathbf{F}^{a}_{\kappa}$ -primary over  $M_{1} \cup \{c_{\alpha} : \alpha < \kappa\}, M''_{1} \mathbf{F}^{a}_{\kappa}$ -prime  $M_1$ over  $M'_1 \cup \{c_{\kappa}\}\$ ; now by [Sh-a, V4.10(2)]

$$
\frac{c_{\kappa}}{M_1 \cup \{c_{\zeta} : \zeta < \kappa\}} \vdash \frac{c_{\kappa}}{M_1'}
$$

hence  $M''_1$  is  $\mathbf{F}^a_{\kappa}$ -primary over  $M_1 \cup \{c_{\alpha} : \alpha \leq \kappa\};$ 

if Av(
$$
\mathbf{I}^{\alpha}, \mathbf{I}^{\alpha}
$$
)  $\perp \frac{\langle c_{\zeta} : \zeta \leq \kappa \rangle}{M_1}$ , then Av( $\mathbf{I}^{\alpha}, M_1$ )  $\perp_w \frac{\langle c_{\alpha} : \alpha \leq \kappa \rangle}{M_1}$ 

hence  $Av(I^{\alpha}, M_1) \vdash Av(I^{\alpha}, M_1'')$ , hence by monotonicity  $Av(I^{\alpha}, M_1') \vdash Av(I^{\alpha}, M_1' \cup M_1')$  $c_{\kappa}$ ) hence, by [Sh-a, V1.2(3)], we have

$$
Av(\mathbf{I}^{\alpha},M'_1) \perp \frac{c_{\kappa}}{M'_1} = Av(\mathbf{I},M'_1),
$$

a contradiction].

So for some finite  $u \subseteq \kappa + 1$ ,

$$
\frac{\langle c_{\zeta} : \zeta \in u \rangle}{M_1} \nperp \text{Av}(\mathbf{I}^{\alpha}, \mathbf{I}^{\alpha}).
$$

Without loss of generality u does not depend on  $\alpha$  and necessarily (see [Sh-a, V1.1(1)])  $\langle c_{\zeta} : \zeta \in \mathfrak{u} \rangle \in M_2$  but  $\langle c_{\zeta} : \zeta \in \mathfrak{u} \rangle \notin M_1$ . By assumption (c) dim( $\langle c_{\zeta} :$  $\zeta \in u$ /*M*<sub>1</sub>, *M*<sub>2</sub>) >  $\lambda$ , hence by assumption (f) we can find  $q \in S(M_0)$  such that, for  $\alpha < \kappa$ ,  $q \nperp \text{Av}(\mathbf{I}^{\alpha}, \mathbf{I}^{\alpha})$  and  $\dim(q, M_2) > \lambda$ . Without loss of generality  $\{\langle c_n^{\alpha} :$  $n < \omega$ :  $\alpha < \kappa$  is independent over  $(A \cup \text{Cb}(q), A)$ . As also  $\{c_n^{\alpha}: n < \omega\}$ :  $\alpha < \kappa$   $\cup$  { $\langle c_n : n < \omega \rangle$ } is necessarily independent over  $(A \cup \text{Cb}(q), A), q$  is also not orthogonal to  $Av(I, I)$ , but this contradicts assumption (d).

1.2. CLAIM. (1) If  $B \subseteq M_1$ ,  $\vartheta \subseteq S(B)$ ,  $||M_1|| = \lambda$  (>  $|T| + |B|$ ),  $\kappa = \kappa_r(T)$ ,  $M_1$  is  $\mathbf{F}_{\kappa}^a$ -saturated,  $\kappa > |B|$  and, for each  $p \in \mathcal{P}$ , dim $(p, M_1) = \lambda$ , *then* we can find I such that:

 $(\ast)_{B,M_1,\vartheta}^{\mathbf{I}}$ ,  $\mathbf{I} \subseteq \bigcup_{p \in \vartheta} p(M_1)$ , **I** independent over B, and for each  $p \in \vartheta$ , letting  $p^+$  be the stationarization of p over  $M_1$ ,

$$
p^+ \upharpoonright (B \cup I) \vdash p^+.
$$

(2) If  $J \subseteq M_1$  is independent over B,  $|J| < \lambda$  we can demand  $J \subseteq I$ ,  $I \setminus J \subseteq I$  $\bigcup_{p \in \mathcal{P}} p(M_1).$ 

**PROOF.** (1) Without loss of generality  $\varphi$  is a non-empty set of non-algebraic types and we work in  $\mathbb{C}^{eq}$ . Let  $\{\bar{c}_{\alpha} : \alpha < \lambda\}$  list all finite sequences from  $M_1$ . We choose by induction on  $\alpha < \lambda$ ,  $\bar{b}_{\alpha} \in {}^{\omega}M_1$  such that:

- (i)  $\bar{b}_{\alpha} \in \bigcup_{p \in \mathcal{P}} p(M_1);$
- (ii)  $\{\bar{b}_\beta : \beta \le \alpha\}$  is independent over B;
- (iii) for each  $\alpha$ , let  $\gamma(\alpha)$  be the minimal  $\gamma < \lambda$  such that for some  $p \in \mathcal{P}$ ,  $p^+$   $\mathcal{L}_{st}$  $(B \cup \{\bar{b}_{\beta} : \beta < \alpha\})$  is not weakly orthogonal to  $\frac{\bar{c}_{\gamma}}{B \cup \{\bar{b}_{\beta} : \beta < \alpha\}}$ , and then  $b_{\alpha}$  fork over  $B \cup \{\bar{b}_{\beta} : \beta < \alpha\}$  (equivalently over B),  $B\cup\{b_{\beta};\beta<\alpha\}\cup\bar{c}_{\gamma(\alpha)}$ or, if this is impossible,  $\frac{1}{2}$ ,  $\frac{1}{2}$ , has at least two extensions which  $B\cup\{b_\beta\colon\beta<\delta\}$ are complete types over  $B \cup {\{\bar{b}_\beta : \beta \leq \alpha\}}$  and does not fork over  $B \cup$

 $\{\bar{b}_\alpha:\beta<\alpha\}.$ Easily this suffices (note:  $|{\alpha : \gamma(\alpha) = \gamma}| < |T|^+$ ). The least trivial part is that given  $\alpha, \gamma(\alpha)$  we can find  $\bar{b}_{\alpha}$  satisfying (i), (ii), (iii).

By the choice  $\gamma(\alpha)$ , the non-trivial case is that there is  $\bar{b}'_{\alpha} \in {}^{\omega >} \mathbb{C}$  such that

$$
\bar{b}'_{\alpha} \biguplus_{B \cup \{\bar{b}_{\beta} : \beta < \alpha\}} \bar{c}_{\gamma(\alpha)}
$$
 and  $\frac{\bar{b}'_{\alpha}}{B \cup \{\bar{b}_{\beta} : \beta < \alpha\}}$ 

is a stationarization of some  $p_{\alpha} \in \mathcal{P}$ . Now choose, by induction on  $\zeta$ ,  $\bar{b}_{\alpha,\zeta} \in \mathcal{P}$ such that:

$$
\bar{b}_{\alpha,\zeta}\bigcup_{B}\{\bar{b}_{\beta}\colon\beta<\alpha\}\cup\bar{c}_{\gamma(\alpha)}\cup\{\bar{b}_{\alpha,\xi}\colon\xi<\zeta\}
$$

and

$$
\frac{\bar{b}_{\alpha,\zeta}}{B} \in \mathcal{P} \quad \text{and} \quad \bar{b}'_{\alpha} \underset{B \cup \{\bar{b}_{\beta} : \beta < \alpha\}}{\cup} \biguplus_{\lambda \in \bar{b}_{\alpha,\zeta} : \zeta < \zeta} \bar{b}_{\alpha,\zeta}.
$$

For some  $\zeta < \kappa(T)$ ,  $\bar{b}_{\alpha,\xi}$  is defined iff  $\xi < \zeta$ . We can also find  $u \subseteq \alpha$ ,  $|u| < \kappa$  such that

$$
\bar{b}'_{\alpha} \cup \bar{c}_{\gamma(\alpha)} \cup \bigcup_{\xi < \xi} \bar{b}_{\alpha,\xi} \bigcup_{B \cup \{\bar{b}_{\beta} : \beta \in \mathfrak{a}\}} B \cup \{\bar{b}_{\beta} : \beta \in \alpha \setminus \mathfrak{u}\}.
$$

Easily

$$
\otimes \qquad \qquad \text{stp}\bigg(\frac{\tilde{b}'_{\alpha}}{B \cup \{\tilde{b}_{\beta} : \beta \in \mathfrak{u}\} \cup \bar{c}_{\gamma(\alpha)} \cup \{\tilde{b}_{\alpha,\xi} : \xi < \zeta\}}\bigg) + \text{stp}\bigg(\frac{\tilde{b}'_{\alpha}}{B \cup \{\tilde{b}_{\beta} : \beta < \alpha\} \cup \bar{c}_{\gamma(\alpha)} \cup \{\tilde{b}_{\alpha,\xi} : \xi < \zeta\}}\bigg).
$$

Now as  $\dim(p, M_1) = \lambda$  for  $p \in \mathcal{P}$ , there is an elementary mapping f such that  $f \upharpoonright (B \cup \{ \bar{b}_{\beta} : \beta < \alpha \} \cup \bar{c}_{\gamma(\alpha)}) = \text{id}$  and, for  $\xi < \zeta$ ,  $f(\bar{b}_{\alpha,\xi}) \subseteq M_1$ . As  $M_1$  is  $\mathbf{F}_{\kappa}^{\alpha}$ -saturated, by  $\otimes$  above without loss of generality  $f(\bar{b}_n') \subseteq {}^{\omega}M_1$ .

Let  $\bar{b}_{\alpha} = f(\bar{b}_{\alpha}')$ .

(2) Same proof.

1.3. CLAIM. If  $\lambda = \lambda^{< \kappa} > \kappa^+$ , T stable in  $\kappa$ , cf  $\kappa > \kappa_r(T) + \aleph_1$ ,  $\kappa \ge |T|$ ,  $M_2$  is  $\lambda$ -saturated of power  $\lambda^+, A^* \subseteq B^* \subseteq M_2$ ,  $||A|| \leq \kappa$ , *then* there are  $M_0, M_0 \leq M_2$ ,  $A^* \subseteq M_0$ ,  $\|M_0\| = \kappa$  and  $I \subseteq M_2$  independent over  $M_0$  such that for each  $p \in$  $S(M_0)$ ,  $p^+ =: p \restriction_{st} M_2$  (the stationarization of p over  $M_2$ ) satisfies  $p^+ \restriction (M_0 \cup I) \vdash$  $p^+$  and tp( $M_0, B$ ) does not fork over  $M_0 \cap B^*$ .

**PROOF.** We choose, by induction on  $\alpha < \kappa$ ,  $M_{0,\alpha}, M_{1,\alpha}$  such that:

(a)  $M_{0,\alpha} < M_{1,\alpha} < M_2$ ,

(b) 
$$
||M_{0,\alpha}|| = \kappa, ||M_{1,\alpha}|| = \lambda,
$$

(c)  $M_{0,\alpha}$  is saturated increasing in  $\alpha$ ,

- (d)  $M_{1,\alpha}$  is saturated increasing in  $\alpha$ ,
- (e) if  $c \in M_2$  (or  $\bar{c} \in \omega > M_2$ ),

$$
\dim\left(\frac{c}{M_{1,\alpha}}\restriction{\rm{Cb}}\left(\frac{c}{M_{1,\alpha}}\right),M_2\right)\leq\lambda,
$$

*then* there is a maximal  $I \subseteq M_2$  independent over Cb( $\frac{1}{\sqrt{1-\epsilon}}$ ) of elements  $\langle M_{1,\alpha} \rangle$ realizing  $c/Cb$   $\leftarrow$  , such that  $I \subseteq M_{1,\alpha+1}$ ;  $\langle M_{1,\alpha}/\rangle$ 

equivalently

(e)' for no  $c \in M_2$ ,

$$
c \bigcup_{M_{1,\alpha}} M_{1,\alpha+1} \quad \text{and} \quad \dim \left( \frac{c}{M_{1,\alpha}}, M_2 \right) \leq \lambda;
$$

(f) if  $A \subseteq M_{1,\alpha}$ ,  $|A| < \kappa_r(T) + \aleph_1$ ,  $p_i \in S(M_{0,\alpha})$  for  $i < i^* < \kappa$ ;  $B \subseteq M_2$ ,  $|B| < \kappa$ ,  $c \in M_2$ ,

$$
\frac{c}{B} \text{ stationary, } \dim\left(\frac{c}{B}, M_2\right) > \lambda, \quad \frac{c}{B} \neq p_i \quad \text{for } i < i^*,
$$

*then* for some elementary mapping *h*, Dom  $h = A \cup B \cup \{c\}$ ,  $h \upharpoonright A = id$ ,  $h(B\cup\{c\})\subseteq M_{0,\alpha+1},$ 

$$
\dim\left(\frac{h(c)}{h(B)}, M_2\right) > \lambda, \quad \text{and for } i < i^* \text{ we have } \frac{h(c)}{h(B)} \not\perp p_i.
$$

(g) tp( $M_{0,\alpha}, B^*$ ) does not fork over  $B^* \cap M_{0,\alpha+1}$  and  $A \subseteq M_{0,0}$ .

No problem exists in the inductive construction (as T is stable in  $\kappa$ , we have  $\kappa =$  $\kappa^{< \kappa_r(T)}$ ). Let  $M_0 = \bigcup_{\alpha < \kappa} M_{0,\alpha}$ ,  $M_1 = \bigcup_{\alpha < \kappa} M_{1,\alpha}$  and  $\Theta = S(M_0)$ . By [Sh-a, IV4.14] (or 1.2(1)) there is  $J \subseteq M_1$  independent over  $M_0$  such that: [ $p \in S(M_1)$ , p does not fork over  $M_0 \Rightarrow p \restriction (M_0 \cup J) \vdash p$ . By 1.2(2) there is  $I \subseteq M_2$  independent over  $M_0$ ;  $J \subseteq I$ ,  $I \setminus J \subseteq \bigcup_{p \in \mathcal{P}_1} p(M_2)$  where  $\mathcal{P}_1 = \{p \in \mathcal{P} : \dim(p, M_2) > \lambda\}$  such that for  $p \in \mathcal{P}_1$ ,  $p \uparrow_{st} (M_0 \cup I) \vdash p \uparrow_{st} M_2$ . It suffices to show that

$$
p \in \mathcal{P} \Rightarrow p \upharpoonright_{\text{st}} (M_0 \cup \mathbf{I}) \vdash p \upharpoonright_{\text{st}} M_2.
$$

By the choice of **J** for  $\bar{c} \in M_1$ ,  $\frac{\bar{c}}{M_0 \cup I}$  is weakly orthogonal to p  $f_{st}$  ( $M_0 \cup J$ ) for  $p \in \mathcal{P}$ , hence also

$$
\frac{\bar{c}}{M_0 \cup \mathbf{I}} \perp_{\mathbf{w}} p \restriction_{\mathbf{st}} (M_0 \cup \mathbf{I}) \qquad \text{(for } \bar{c} \in M_1\text{).}^{\dagger}
$$

Hence (for  $p \in \mathcal{P}$ ):  $p \, \mathsf{l}_{\text{st}} (M_0 \cup \mathbf{I}) \vdash p \, \mathsf{l}_{\text{st}} (M_1 \cup \mathbf{I})$ . Let  $A \subseteq M_2$  be such that:

- (i)  $M_1 \cup I \subseteq A \subseteq M_2$ ,
- (ii)  $\bar{c} \in A \Rightarrow \frac{1}{M_1 \cup I} \perp_{\text{w}} p \upharpoonright_{\text{st}} (M_1 \cup I)$  for  $p \in \mathcal{P}$
- (iii)  $A$  is maximal under (i) + (ii).

Easily (by [Sh-a, V3.2])  $A = |M'_2|$ ,  $M'_2$  is  $\mathbf{F}_{\kappa}^a$ -saturated (even  $\lambda$ -saturated). If  $M'_2 = M_2$  we finish, otherwise let  $c = c_{\kappa} \in M_2 \backslash M'_2$ , and choose  $I = \{c_{\zeta} : \zeta < \kappa\} \subseteq$  $M'_{2}$  indiscernible,

$$
\mathrm{Av}(\mathbf{I},M'_2)=\frac{c}{M'_2},
$$

and we get a contradiction by 1.1 (only  $\kappa$  there is replaced by  $\kappa_r(T) + \aleph_1$  here).

1.4. THEOREM. *If T is multi-dimensional, then there is a (non-algebraic, stationary) type orthogonal to the empty set.* 

Recall (see [Sh-a, V, Definitions 5.2, 5.3])

1.5. DEFINITION. (C) A stable theory T is called multi-dimensional if there is  $\{\bar{c}^{\alpha} : \alpha \leq \mu\}$  which is multi-dimensional, which means:

- (i)  $\mu \geq \kappa_r(T)$ ,
- (ii)  $\bar{c}^{\alpha} = \langle c_n^{\alpha} : n < \omega \rangle$  is an indiscernible set,
- (iii)  $\{\bar{c}^{\alpha} : \alpha < \mu\}$  is an indiscernible set,
- (iv) letting  $I^{\alpha} = \{c_n^{\alpha} : n < \omega\}$ ,  $\{I^{\alpha} : \alpha < \mu\} \not\leq_{\omega} I^{\mu}$ , i.e. for some  $\mathbf{F}_{\kappa}^{\alpha}$ -saturated model M,  $\bigcup_{\alpha \leq \mu} I^{\alpha} \subseteq M$ , and

$$
\dim(\mathbf{I}^{\mu},M) < \min\{\dim(\mathbf{I}^{\alpha},M): \alpha < \mu\}.
$$

tBy [Sh-a, I114.22].

**PROOF OF 1.4.** We use 1.5's notation. Let  $\kappa = \kappa_r(T) + |T|$ . Without loss of generality  $\mu > (2^{|T|})^+$ ; let  $\lambda = 2^{\mu}$ ,  $\lambda_0 = (2^{|T|})^+$ ; let  $\mathbf{J}_{\alpha}$   $(\alpha \le \mu)$  be such that:  $\mathbf{I}^{\alpha} \cup$  $J_{\alpha}$  is an indiscernible set and  $J_{\alpha}$  is indiscernible over  $\bigcup_{\beta \neq \alpha} J_{\beta}$  and  $|J_{\alpha}| = \lambda^{+}$ . Let  $M_2$  be  $\mathbf{F}_{\lambda}^{\alpha}$ -primary over  $\bigcup_{\alpha \leq \mu} \mathbf{J}_{\alpha}$ , and let  $A = \emptyset$ . Apply Claim 1.3 (with  $\lambda_0$  here standing for  $\kappa$  there).

So there are  $M_0 \subseteq M_2$  of power  $\lambda_0$ , and  $I \subseteq M_2$  independent over  $M_0$  such that:  $|M_0 \cap \mathbf{J}_{\alpha}| = \lambda_0$  for  $\alpha < \lambda_0$ ,

$$
M_0 \bigcup_{M_0 \cap ( \bigcup_{\alpha} \mathbf{J}_{\alpha} )} \bigcup_{\alpha} \mathbf{J}_{\alpha}
$$

and for  $p \in S(M_0)$ ,  $p \upharpoonright_{st} (M_0 \cup I) \vdash p \upharpoonright_{st} M_2$ . By the proof of 1.3 without loss of generality for every  $\alpha \leq \mu$ : either  $|J_{\alpha} \cap M_0| = \lambda_0$  or  $\frac{\alpha}{\sigma}$  does not fork  $M_0\cup\bigcup_{\beta\neq\alpha} \mathbf{J}_\beta$ over  $\bigcup_{n} (\mathbf{J}_n \cap M_0)$ , hence over  $M_0$ . By renaming without loss of generality,  $|\mathbf{J}_\alpha \cap$  $M_0 = \lambda_0$  iff  $\alpha < \lambda_0$ . There is  $M_1, M_0 \subseteq M_1 \subseteq M_2$ ,  $||M_1|| = \lambda$ ,  $M_1$  saturated and  $tp_*(M_1, M_0 \cup I)$  does not fork over  $M_0 \cup J$ , where  $J = M_1 \cap I$  and  $|M_1 \cap J_\alpha| = \lambda$ for  $\alpha \leq \mu$  and  $M_2$  is  $\mathbf{F}_{\lambda}^a$ -constructible over  $M_1 \cup \bigcup_{\alpha < \mu} \mathbf{J}_{\alpha} = M_1 \cup \bigcup_{\alpha < \mu} (\mathbf{J}_{\alpha} \setminus M_1).$ 

Let  $M'_2 \subseteq M_2$  be  $\mathbf{F}_{\kappa}^a$ -primary over  $M_1 \cup (\mathbf{I} \setminus \mathbf{J})$ . If  $M_2 \neq M'_2$ , by the conclusion of 1.3 for every  $c \in M_2 \backslash M_2'$ ,  $\frac{c}{M_2}$  is (not algebraic and) orthogonal to  $M_0$ , hence to  $\emptyset$ , the desired conclusion.

So assume  $M_2 = M'_2$ . As any  $c \in J_u \backslash M_1$  realizes  $Av(J_u, M_1)$  (and as  $M_2 = M'_2$ ), we have  $Av(\mathbf{J}_{\mu},M_1)_{\nu} \geq {\uplus (d,M_1) : d \in I \setminus \mathbf{J}}$ . Now for each  $d \in I \setminus \mathbf{J}$ ,

$$
\frac{d}{M_1} \le \{Av(\mathbf{J}_{\alpha}, M_1) : \alpha \le \mu\}
$$

(remember  $M_2$  is  $\mathbf{F}_{\lambda}^a$ -primary over  $M_1 \cup \bigcup_{\alpha \leq \mu} (\mathbf{J}_{\alpha} \setminus M_1)$ ), hence for some  $u_d \subseteq \mu$  + 1,  $|u_d| < \kappa_r(\tau)$  and

$$
\frac{d}{M_1} \le \{Av(\mathbf{J}_{\alpha}, M_1) : \alpha \in u_d\}.
$$

However, by the choice of I and  $M_1$ ,  $d \cup M_1$ , hence (by the choice of  $M_0$ ) with- $M_{\rm 0}$ out loss of generality,  $u_d \n\subseteq \lambda_0$ ; so,

$$
\frac{d}{M_1} \le \{Av(\mathbf{J}_{\alpha}; M_1) : \alpha < \lambda_0\} \quad \text{(for each } d \in \mathbf{I} \setminus \mathbf{J}).
$$

As

$$
\mathrm{Av}(\mathbf{J}_{\mu},M_1)_{\mathbf{w}}\geq \left\{\frac{d}{M_1}:d\in\mathbf{I}\backslash\mathbf{J}\right\},\,
$$

together (remembering the choice of  $J_{\alpha}$ 's) Av( $I^{\mu}, M_1$ )  $_{\nu} \geq {\rm (Av}(I^{\alpha}, M_1) : \alpha < \mu$ ), a contradiction.

1.6. CONCLUSION. If T is multi-dimensional,  $\kappa_r(T) \leq \aleph_\alpha \leq \aleph_\beta$ , T stable in  $\aleph_\beta$ , *then T* has  $\geq 2^{|\beta-\alpha|}$  pairwise non-isomorphic  $\mathbf{F}_{\mathbf{R}_{\alpha}}^{\alpha}$ -saturated models of cardinality  $\aleph_{\beta}$ .

### **REFERENCES**

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